



REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

(INCLUDING FILING FEE AND/OR PETITION FOR EXTENSION OF TIME FEE)

RECEIVED

Subsection (b) of 35 U.S.C. §132, effective May 29, 2000 provides for continued examination of a utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA)

SEP 2 5 2003

Technology Center 2600

| To: Commissioner for Patents | | Attorney Docket No.:1344.1033 | | | | | |
|---|---|-------------------------------|----------------|--|--|--|--|
| Box RCE | | | | | | | |
| PO Box 1450 Alexandria, VA 22313-1450 | | | | | | | |
| First Named | Akihisa KAWAGUCHI et al. | | | | | | |
| Inventor | | | | | | | |
| Application No. | 09/419,872 | Group Art Unit | 2633 | | | | |
| Filing Date | October 19, 1999 | Examiner | Reza Sedighian | | | | |
| CPA Filing Date | | Confirmation No | 1976 | | | | |
| Title of Invention | METHOD OF CONTROLLING OPTICAL WAVELENGTH DIVISION MULTIPLEXING TRANSMISSION APPARATUS | | | | | | |
| This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application. NOTE: 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (March 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice. | | | | | | | |
| Submission required under 37 C.F.R. §1.114 (Box a or b must be completed) a. ⊠ Previously submitted | | | | | | | |
| i. \(\subseteq \text{Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \(\text{August 18, 2003} \) (Any unentered amendment(s) referred to above will be entered). ii. \(\subseteq Consider the arguments in the Appeal Brief or Reply Brief previously filed on \(\text{ | | | | | | | |
| Miscellaneous | | | 300.00 Up | | | | |
| a. Suspension of action on the above-identified application is requested under 37 C.F.R. §103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required). b. Other | | | | | | | |

| | | BAS | SIC FEE | \$ | 750.00 | | |
|--|-----------------------|-------------------|-----------------|-------------|----------------|--|--|
| Since an Officia | | | | | | | |
| petition is herel | | | | | | | |
| RCE is filed, for which the requisite fee is enclosed (1 month (\$110); | | | | | 222.22 | | |
| 2 months (\$410); 3 months (\$930); 4 months (\$1,450); 5 months | | | | | 300.00 | | |
| | ne-month extension wa | | d paid for with | | | | |
| the Amendment filed August 18, 2003.) | | | | | 0.00 | | |
| Suspension Fee (\$130.00) | | | | | 1050.00 | | |
| Total of above Calculations = Reduction by 50% for filing by small entity (Note 37 C.F.R. 1.9, 1.27, 1.28). | | | | | 1000.00 | | |
| TOTAL FEES DUE = | | | | | 1050.00 | | |
| 4 D Small | entity status: | | | \$ | 1000.00 | | |
| | | iming Small Entit | tv Status | | | | |
| a. Verified Statement Claiming Small Entity Status. b. A Verified Statement Claiming Small Entity Status was previously filed and such | | | | | | | |
| status is still proper and desired. | | | | | | | |
| С. П | is no longer claimed. | . | | 1 1 mm | | | |
| | Other: | | | | 2 5 2003 | | |
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| 6. METHOD OF PAYMENT | | | | Technolo | gy Center 2600 | | |
| A check in the amount of \$\\$1050.00 is enclosed. | | | | | | | |
| Charge "TOTAL FEES DUE" to Deposit Account No. 19-3935. (A duplicate copy of this form is | | | | | | | |
| enclosed.) | | | | | | | |
| 7. GENERAL AUTHORIZATION | | | | | | | |
| The Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 C.F.R. 1.16 (filing fees) or 37 C.F.R. 1.17 (processing fees) during the prosecution of this application and of any related application(s) claiming | | | | | | | |
| benefit hereof pursuant to 35 U.S.C. §120 to maintain pendency hereof and of any such related application to: | | | | | | | |
| Deposit Account No. 19-3935. | | | | | | | |
| 8. CORRESPONDENCE ADDRESS | | | | | | | |
| STAAS & HALSEY LLP | | | | | | | |
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| PATENT TRADEMARK OFFICE | | | | | | | |
| PATENT DAGEMENT OF THE | | | | | | | |
| 9. SIGNATURE OF ATTORNEY OR AGENT REQUIRED | | | | | | | |
| NAME | John C. Garvey | | REGISTRATION | ON NO. | 28,607 | | |
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| | Oal Literan | 4 | | alie | 2/12 | | |
| SIGNATURE | Xun mi | () | DATE | 7//8 | ,/0) | | |
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